

STATE OF CONNECTICUT

DOCKET NO. [REDACTED] : SUPERIOR COURT

[REDACTED] : JD OF HARTFORD

V. : AT [REDACTED]

[REDACTED] : [REDACTED]

DOMESTIC RELATIONS ORDER
STATE EMPLOYEES RETIREMENT SYSTEM

This Order shall be entered as a domestic relations order ("DRO") under the domestic relations laws of Connecticut that pertain to alimony, maintenance payments or marital property rights between spouses or former spouses; and pursuant to the State Employees Retirement Act Chapter 66 of the Connecticut General Statutes.

This Order is intended to provide and assign to the Alternate Payee ([REDACTED]) a portion of the retirement benefits payable to the Member ([REDACTED]) under the State of Connecticut State Employees Retirement System ("SERS" or the "Plan") as those benefits become due and payable under the terms of the Plan.

A. STATEMENT OF FACTS FOR COURT-ORDERED ASSIGNMENT OF RETIREMENT BENEFITS:

1. **Member Information:** The Member is [REDACTED]
Address: [REDACTED]
2. **Alternate Payee Information:** The Alternate Payee is [REDACTED]
Address: [REDACTED]
The Alternate Payee shall inform the retirement plan any time her address changes. The Alternate Payee acknowledges that failure to inform the retirement plan of a change in her address may result in a loss of benefits.
3. **Plan Name:** The Plan is the Connecticut State Employees Retirement System, hereinafter referred to as "the Plan".
4. **Plan Administrator:** The Plan is administered by the State Retirement Services Division, Office of the State Comptroller, 165 Capitol Avenue, Hartford, Connecticut 06106.
5. **Marriage Information:** The Alternate Payee and the Member were married on [REDACTED]
[REDACTED] Dissolution of their marriage was granted on [REDACTED].
6. **Assigned Benefit:** The term "Assigned Benefit" shall mean the portion of the Member's [REDACTED]
[REDACTED]

benefits under the Plan that is assigned to the Alternate Payee pursuant to this Order.

B. BENEFIT INFORMATION

1. **Plan Provisions:** The Member has retired and is in pay status. By reason of the decree dissolving the marriage of the parties, the Alternate Payee has become the former spouse of the Member and cannot and shall not be treated as the spouse or surviving spouse of the Member under the provisions of the Plan. Benefit payments to the Alternate Payee will cease upon the death of the Member unless the Member named her as contingent annuitant at retirement. All interest in and to any portion of the Plan benefits not assigned by this Order shall remain and belong to the Member.
2. **Assigned Benefit:** The Plan is directed to pay benefits to the Alternate Payee as a marital property settlement under the following formula: \$ [REDACTED] / month of the Member's gross monthly payment. The Alternate Payee is entitled to a proportionate share of cost of living adjustments. *The parties stipulate to the Court that this equals 50% of the benefits accrued by the Member as of [REDACTED] calculated at the hazardous duty rate, pursuant to the Court Order entered [REDACTED]* This amount shall be payable even if the Member retired on a disability, except that in no event can the Assigned Benefit exceed 100% of the monthly benefit payable from the SERS.
3. **Commencement of Benefits:** The Member's Plan benefits are in pay status. Payments to the Alternate Payee will be made prospectively only and will commence as soon as administratively feasible after this Order is received and accepted by the Plan.
4. **Duration of Benefit:** Payments under this Order will terminate upon the death of the Member or of the Alternate Payee, whichever occurs first. If the Alternate Payee dies prior to the Member, future monthly payments will revert to the Member.
5. **Survivor Benefits:** The form of benefits elected by the Member at retirement shall remain effect. The Alternate Payee will receive benefits after the Member's death if she survives him and if, at retirement, the Member elected a survivor annuity naming the Alternate Payee as his beneficiary.

C. ADDITIONAL REQUIREMENTS

1. **Required Statements and Consent:** The Member and the Alternate Payee shall execute all documents necessary to provide and secure the Alternate Payee's benefits as ordered hereunder. The Alternate Payee shall provide the Retirement and Benefit Services Division with all documents that may be necessary to effectuate payment of the benefit.
2. **Taxes:** Payments from the Plan to the Alternate Payee pursuant to this Order shall be included in her gross taxable income. The taxable portion and basis will be prorated to each respective recipient.
3. **Member Benefit Information:** In response to a reasonable request by the Alternate Payee,
[REDACTED]

the Plan is hereby authorized to release information to the Alternate Payee about the Member's account, but only with regard to the date the Member's benefits commenced or will commence, without the consent of the Member.

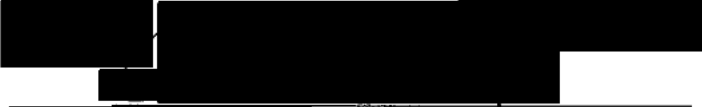
4. **Limitations:** This Order does not require the Plan to provide any type or form of benefit, or any option that is not otherwise provided under the provisions of the Plan; or provide increased benefits; or make payment of benefits to the Alternate Payee that is required to be paid to any other alternate payee under an existing or previous Order.
5. **Jurisdiction:** The Court retains jurisdiction to amend this Order for the purposes of establishing or maintaining its validity and enforceability under the Plan and for establishing or maintaining its qualification as a Qualified Domestic Relations Order as defined internal Revenue Code Section 414(p)(11) and relevant State statutes, and as necessary to ensure that the Order conforms to the terms of the judgment.
6. **Certified Copy:** A court certified copy of this Order shall be mailed to the Plan. It is acknowledged by the parties that the Plan cannot and will not make any payments to the Alternate Payee unless it receives a certified copy of the Order and formally determines that it can be implemented as drafted and furthermore, the Plan has no responsibility to obtain such a certified copy.
7. **No Retroactive Payment:** The Plan will only make payments to the Alternate Payee upon receipt of a certified order. If the Plan receives the certified order after the Member has entered pay status, it will not make retroactive payment(s) of any type to the Alternate Payee. Payment to the Alternate Payee is only made on a prospective basis upon receipt of the certified order.

IT IS INTENDED by the Court that this Order shall qualify as a Qualified Domestic Relations Order and that this Order will be deemed a qualified domestic relations order with regard to IRC Code 414(p) including Code Section 414(p) (11).

APPROVED:

 _____ Plaintiff/ Participant	 _____ Defendant/ Alternate Payee
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IT IS SO ORDERED this day of



JUDGE



**ADDENDUM TO DOMESTIC RELATIONS ORDER
FOR SUBMISSION TO PLAN ADMINISTRATOR ONLY**

Pursuant to the Connecticut Superior Court rules and to the Rules of Professional Conduct Sec. 4-7., "Personal Identifying Information to Be Omitted or Redacted from Court Records in Civil and Family Matters", personal identifying information such as an individual's date of birth and Social Security number is not to be included in documents filed with the Court.

Accordingly, the following information is provided under separate cover and shall not be filed with the Domestic Relations Order. Rather, this sheet shall be submitted to the plan administrator with the court-certified copy of the Domestic Relations Order.

The Alternate Payee is:

[REDACTED]

The Participant is:

[REDACTED]

Social Security No: [REDACTED]
Date of Birth: [REDACTED]
Phone: [REDACTED]

Social Security No: [REDACTED]
Date of Birth: [REDACTED]
Phone: [REDACTED]

[REDACTED]