STATE OF CONNECTICUT

BIATE OF COUNTERTOOL	
DOCKET NO. SUPERIOR COURT	
: JD OF HARTFORD	
V. : AT HARTFORD	
DOMESTIC RELATIONS ORDER STATE EMPLOYEES RETIREMENT SYSTEM	
This Order shall be entered as a domestic relations order ("DRO") under the domestic relations laws of Connecticut that pertain to alimony, maintenance payments or marital property rights between spouses or former spouses; and pursuant to the State Employees Retirement Act Chapter 66 of the Connecticut General Statutes.	
This Order is intended to provide and assign to the Alternate Payee apportion of the retirement benefits payable to the Member and under the State of Connecticut State Employees Retirement System ("SERS" or the "Plan") as those benefits become due and payable under the terms of the Plan.	70 .
A. STATEMENT OF FACTS FOR COURT-ORDERED ASSIGNMENT OF RETIREMENT BENEFITS:	LE
1. Member Information: The Member is Address:	
Address: The Alternate Payee is Address: The Alternate Payee shall inform the retirement plan any time her address changes. The Alternate Payee acknowledges that failure to inform the retirement plan of a change in her address may result in a loss of benefits.	
3. Plan Name: The Plan is the Connecticut State Employees Retirement System, hereinafter referred to as "the Plan".	
 Plan Administrator: The Plan is administered by the State Retirement Services Division, Office of the State Comptroller, 165 Capitol Avenue, Hartford, Connecticut 06106. 	
5. Marriage Information: The Alternate Payee and the Member were married on Dissolution of their marriage was granted on	
6. Assigned Benefit: The term "Assigned Benefit" shall mean the portion of the Member's	

benefits under the Plan that is assigned to the Alternate Payee pursuant to this Order.

B. BENEFIT INFORMATION

- 1. Plan Provisions: Benefits to the Alternate Payee will commence at such time as the Member retires and begins to receive monthly benefit payments from the Plan. Unless otherwise stated, accrued benefits shall include all Member contributions (if applicable) with credited interest. By reason of the decree dissolving the marriage of the parties, the Alternate Payee has become the former spouse of the Member and cannot and shall not be treated as the spouse or surviving spouse of the Member under the provisions of the Plan. All interest in and to any portion of the Plan benefits not assigned by this Order shall remain and belong to the Member.
- 2. Assigned Benefit: The Alternate Payee is hereby assigned 20% of the Marital Portion of the Plan benefits accrued by the Member as of the Valuation Date). Marital portion is a fraction less than or equal to one where the numerator is the months of service credited to the Member under the Plan from to the Valuation Date, and the denominator is the months of service credited to the Member under the Plan from the date of his initial employment through the Valuation Date. The parties estimate this amount to be month. If the Member retires with a hazardous duty pension, the Assigned Benefit will be calculated using the hazardous duty multiplier. Parties estimate the Assigned Benefit under hazardous duty to be from the following the hazardous duty multiplier. Parties estimate the Assigned Benefit under hazardous duty to be from the following the hazardous duty multiplier.
- 3. Commencement and Duration of Benefit: Distribution of the Assigned Benefit to the Alternate Payee shall commence at such time as the Member retires and begins to receive monthly benefit payments from the Plan. Payments will terminate upon the death of the Member or the death of the Alternate Payee, whichever occurs first. If the Alternate Payee predeceases the Member, future monthly benefits will revert to the Member.
- 4. Early Retirement Reduction: The Assigned Benefit will be subject to, and reduced by, the same early retirement reduction factors (if any) applied to the Member's benefit at retirement.
- 5. Cost of Living Adjustments: The Alternate Payee shall be entitled to a proportionate share of the Member's cost of living adjustments (COLAs).
- 6. Benefit Payment Option: At retirement, the Member may elect any payment option permitted under the Plan. The Assigned Benefit will not be reduced if he chooses a form of payment other than a single life annuity.
- 7. Contributions and Distributions: In the event a lump sum regarding the Member Contributions is distributed as a result of the Member terminating hazardous duty service without vesting, or in lieu of a monthly benefit, the Alternate Payee will retain a right to with any credited interest that may have been earned on such balances from .

. The	parties stipul	ate to the Cou	rt that this	equals 20%	of the Mem	oer's contribu	tory
portion as of							

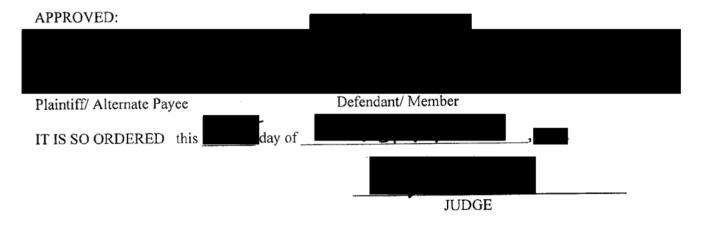
8. Adjustments in the Event of Disability: The benefit payable to the Alternate Payee will not be increased in the event of the Member's disability. The parties note that a disability retirement benefit from the Plan is subject to offsets due to a member's receipt of Social Security disability, workers compensation benefits, statutory offsets or Plan provisions which could reduce or even eliminate the Member's benefit from the Plan. The monthly payment under a disability retirement may fluctuate from month to month as the aforementioned offsets fluctuate. Accordingly, the Plan Administrator will interpret the Assigned Benefit to be the lesser of the Assigned Benefit or 100% of the monthly benefit.

C. ADDITIONAL REQUIREMENTS

- 1. Required Statements and Consent: The Member and the Alternate Payee shall execute all documents necessary to provide and secure the Alternate Payee's benefits as ordered hereunder. The Alternate Payee shall provide the Retirement and Benefit Services Division with all documents that may be necessary to effectuate payment of the benefit.
- 2. Taxes: Payments from the Plan to the Alternate Payee pursuant to this Order shall be included in her gross taxable income. The taxable portion and basis will be prorated to each respective recipient.
- 3. Member Benefit Information: In response to a reasonable request by the Alternate Payee, the Plan is hereby authorized to release information to the Alternate Payee about the Member's account, limited to the Member's designation of pre-retirement beneficiary as it relates to the Alternate Payee only, and the date the Member's benefits commenced or will commence, without the consent of the Member.
- 4. Limitations: This Order does not require the Plan to provide any type or form of benefit, or any option that is not otherwise provided under the provisions of the Plan; or provide increased benefits; or make payment of benefits to the Alternate Payee that is required to be paid to any other alternate payee under an existing or previous Order.
- 5. **Jurisdiction:** The Court retains jurisdiction to amend this Order for the purposes of establishing or maintaining its validity and enforceability under the Plan and for establishing or maintaining its qualification as a Qualified Domestic Relations Order as defined internal Revenue Code Section 414(p)(11) and relevant State statutes, and as necessary to ensure that the Order conforms to the terms of the judgment.
- 6. Certified Copy: A court certified copy of this Order shall be mailed to the Plan. It is acknowledged by the parties that the Plan cannot and will not make any payments to the Alternate Payee unless it receives a certified copy of the Order and formally determines that it can be implemented as drafted and furthermore, the Plan has no responsibility to obtain such a certified copy.

7. No Retroactive Payment: The Plan will only make payments to the Alternate Payee upon receipt of a certified order. If the Plan receives the certified order after the Member has entered pay status, it will not make retroactive payment(s) of any type to the Alternate Payee. Payment to the Alternate Payee is only made on a prospective basis upon receipt of the certified order.

IT IS INTENDED by the Court that this Order shall qualify as a Qualified Domestic Relations Order and that this Order will be deemed a qualified domestic relations order with regard to IRC Code 414(p) including Code Section 414(p) (11).



ADDENDUM TO DOMESTIC RELATIONS ORDER FOR SUBMISSION TO PLAN ADMINISTRATOR ONLY

Pursuant to the Connecticut Superior Court rules and to the Rules of Professional Conduct Sec. 4-7., "Personal Identifying Information to Be Omitted or Redacted from Court Records in Civil and Family Matters", personal identifying information such as an individual's date of birth and Social Security number is not to be included in documents filed with the Court.

Accordingly, the following information is provided under separate cover and shall not be filed with the Domestic Relations Order. Rather, this sheet shall be submitted to the plan administrator with the court-certified copy of the Domestic Relations Order.

The Alternate Payee is:	The Participant is:
Social Security No:	Social Security No:
Date of Birth:	Date of Birth:
Phone:	Phone:
	,

The Alternate Payee is the Participant's Former Spouse.